# **Appendix IV: Declarations on Effective Collaboration**

This declaration concerns ‘effective collaboration’, which as per Article 2(90) of the GBER is *‘collaboration between at least two independent parties to exchange knowledge or technology, or to achieve a common objective based on the division of labour where the parties jointly define the scope of the collaborative project, contribute to its implementation and share its risks, as well as its results.* Oneor several parties may bear the full costs of the project and thus relieve other parties of its financial risks. Contract research and provision of research services are not considered forms of collaboration.

The [entity name] declares that:

1. A collaboration will take place between at least two independent parties to exchange knowledge or technology **and/or** the entities within the consortium will jointly define the scope of the research project.
2. Costs, risks, and results will be shared proportionately to the contribution of each partner to the project.
3. Collaboration **and/or** Dissemination will be carried out (i. and ii. can be alternate)
   1. Collaboration

* The project shall be undertaken among which at least one is an SME, or is carried out in as least two Member States, or in a Member State and in a Contracting Party of the EEA Agreement, and no single undertaking bears more than 70% of the eligible costs,

**OR**

* The project shall be undertaken between an undertaking and one or more research and knowledge-dissemination organisation, where the latter bear at least 10% of the eligible costs and have the right to publish their own research results.
  1. Dissemination
* The results of the project are widely disseminated through conferences, publication, open access repositories, or free or open source software.

The terms and conditions of the project, contribution to its costs, the sharing of risks and results, the dissemination of results, access to and rules for allocation of IPR, must be concluded prior to the start of the project.

Provide an explanation of how you intend to carry out effective collaboration as per above declarations (a), (b) and (c):

Explanation for Declaration (a)

*Click and enter text*

Explanation for Declaration (b)

*Click and enter text*

Explanation for Declaration (c)

*Click and enter text*

Provide any evidence to substantiate these claims e.g. signed a collaboration agreement

*Click and enter text*

The Applicant understands that, should it be found to be in breach of any of the conditions specified above, the Managing Authority will enforce the retrieval of funds with interest, in part or in full, as the case may necessitate.

The Applicant also undertakes to comply faithfully and immediately with any decision of the European Commission or a Maltese judicial authority declaring Article 107(1) TFEU to be applicable to this Agreement.

*Click and write name and surname here*

Name and Signature of Legal Representative of Project Coordinator

Click or tap to enter a date.

*Click and write name and surname here*

Name and Signature of Legal Representatives of Partner 1

Click or tap to enter a date.

*Click and write name and surname here*

Name and Signature of Legal Representative of Partner 2 (if more than 2 partners are present in the consortium)

Click or tap to enter a date.