# **Appendix IV: Declarations on Effective Collaboration and/or Dissemination**

This declaration concerns ‘effective collaboration’, which as per Article 2(90) of the GBER is *‘collaboration between at least two independent parties to exchange knowledge or technology, or to achieve a common objective based on the division of labour where the parties jointly define the scope of the collaborative project, contribute to its implementation and share its risks, as well as its results.* Oneor several parties may bear the full costs of the project and thus relieve other parties of its financial risks. Contract research and provision of research services are not considered forms of collaboration and dissemination.

The [entity name] declares that:

1. A collaboration will take place between at least two independent parties to exchange knowledge or technology **and/or** the entities within the consortium will jointly define the scope of the research project.
2. Costs, risks, and results will be shared proportionately to the contribution of each partner to the project.
3. Effective Collaboration **and/or** Dissemination will be carried out (i. and ii. can be alternate)
   1. Effective Collaboration

* The project involves effective collaboration between undertakings among which at least one is an SME, and no single undertaking bears more than 70% of the eligible costs,

**OR**

* The project involves effective collaboration between an undertaking and one or more public research and knowledge-dissemination organisations, where the latter bear at least 10% of the eligible costs and have the right to publish their own research results.
  1. Dissemination
* The results of the project are widely disseminated through conferences, publication, open access repositories, or free or open source software.

The terms and conditions of the project, contribution to its costs, the sharing of risks and results, the dissemination of results, access to and rules for allocation of IPR, must be concluded prior to the start of the project.

Provide an explanation of how you intend to carry out effective collaboration as per above declarations (a), (b) and (c):

Explanation for Declaration (a)

*Click and enter text*

Explanation for Declaration (b)

*Click and enter text*

Explanation for Declaration (c)

*Click and enter text*

Provide any evidence to substantiate these claims e.g. signed a collaboration agreement

*Click and enter text*

The Applicant understands that, should it be found to be in breach of any of the conditions specified above, the Managing Authority will enforce the retrieval of funds with interest, in part or in full, as the case may necessitate.

*Click and write name and surname here*

Name and Signature of Legal Representative of Applicant

Click or tap to enter a date.