

**Joint Call: Science and Technology Cooperation –** **Sino-Malta Fund 2021**

**Stage 1 Pre-Proposal Application Form**

 Version: 1

 Issue Date: April 2021

**Notes to Applicants.**

1. The official National Rules for Participation can be downloaded from the [MCST’s website](http://mcst.gov.mt/science-technology-cooperation-sino-malta-fund/). Kindly ensure that this application form is filled in with reference to the National Rules for Participation 2021 for this scheme.
2. The Application Form and any attached documents will be treated as confidential throughout and after the project appraisal process.
3. Only Application Forms which are complete and that include all relevant supporting documents will be evaluated.
4. Only electronically filled in application forms will be accepted. Should the application be hand-written or should the format of the application form be altered, the application form will not be evaluated.
5. All responses must be clearly explained and substantiated.
6. A complete application must be submitted to the Malta Council for Science and Technology (MCST) via email on international.mcst@gov.mt.
7. Deadline for submissionis **24th May 2021 at 23:59 CET.** Any submissions received after this date and time will be rejected.
8. This Application will be evaluated in accordance with the National Rules for Participation 2021. The Council reserves the right to request further information should this be required.

**1. Applicant Details**

****

**MALTESE LEAD APPLICANT**

* 1. Legal Name of Undertaking / Entity:

* 1. E-mail address:

* 1. Website address:

1.4 VAT Number (not applicable to public entities):

1.5 Legal Form of Undertaking / Entity:

Choose an item.

* 1. Registration/Identification Number (not applicable to public entities):

* 1. Entity / Undertaking Size:

Choose an item.

* 1. Date Established (not applicable for public entities):

Click here to enter a date.

* 1. Project Contact Point Information

**Name and Surname:**

**Designation:**

**Contact Number:**

**E-mail address:**

1.10 Business Activity

|  |
| --- |
| **Please state NACE Code**       A list of NACE Codes may be accessed by [clicking here](https://ec.europa.eu/eurostat/documents/3859598/5902521/KS-RA-07-015-EN.PDF).  |

1.11 Indication of Funding Modality

Choose an item.

****

**CHINESE LEAD APPLICANT**

**NB: Chinese entities must apply separately with the Ministry of Science and Technology (MOST)**

1.13Legal Name of Undertaking / Entity:

1.14 Legal Form of Undertaking / Entity:

Choose an item.

1.16 Project Contact Point Information

**Name and Surname:**

**Designation:**

**Contact Number:**

**E-mail address:**

**2. Project Details**

2.1 Name of Proposal:

2.2 Proposal Acronym

2.3 Thematic Area

Choose an item.

2.4 Project Abstract (max 100 words)

2.5 Objectives and Targets of Project

*Please provide a clear overview of the project objectives and targets, with reference to the idea, whilst considering the Scheme’s aim as described in section 1.1 of the Parameters and Rules (maximum number of words:500)*

2.6 Research Methodology and Excellence

*Please provide a brief, yet well-articulated description of the research methodology to be undertaken and how the project has the potential of going beyond current state-of-the-art. Also, provide details on the scientific relevance of the project and its alignment to the thematic area selected (maximum number of words:500)*

2.7 Foreseen Timelines and Commercial Synergies

*Please provide details on the foreseen project timelines. If applicable, provide foreseen commercial synergies and details on expected commercial impact (up to a maximum of 24-month duration) (maximum number of words:500)*

2.8 Strength of the Partnership and Benefits of Proposed Scientific Collaboration

*Please provide:*

* *information on the aim of the partnership and the proposed scientific collaboration whilst considering the Scheme’s aim as described in section 1 of the National Rules for Participation*
* *a brief description of the roles of each of the Maltese and Chinese Principal Investigators*
* *information on any other Maltese partners that will be part of the consortium*
* *information on the expertise and value each entity brings to the consortium (including additional partners)*
* *a description of the reciprocal benefits that the Maltese partner/s expect/s to obtain from the collaboration with the Chinese counterpart/s. Include an outline of the Chinese participant/s chosen and why.*

 *(maximum number of words:500)*

2.9 Financial Projections

|  |  |
| --- | --- |
| **Lump-sum requested funding (in €) per Maltese Partner.** | € |
| Maltese Lead Applicant:  |  |
| Partner 1(if applicable): Click or tap here to enter text. |  |
| Partner 2 (if applicable): Click or tap here to enter text. |  |
| Total requested funding from Maltese entities: |  |
| Total requested funding from MOST by Chinese entities (RMB) |  |

**3. Other Partner Profiles**

****

**OTHER PARTNERS BASED IN MALTA**

*Two entries have been included. Should more than two Malta-based entities will be participating in the project, please contact the Council to include further entries in the application form.*

3.1 Entity name

3.2 Entity profile relevant to the project proposal (max 100 words)

3.3 Entity Type:

Choose an item.

3.4 Link to entity website

3.5 Names of Key Researchers + brief biography

3.6 Indication of Funding Modality

Choose an item.

**OTHER PARTNER (if applicable)**

Entity name

Entity profile relevant to the project proposal (max 100 words)

Entity Type:

Choose an item.

Link to entity website

Names of Key Researchers + brief biography

Indication of Funding Modality

Choose an item.

****

**OTHER CHINESE PARTNER DETAILS**

3.7 Entity name

3.8 Entity profile relevant to the project proposal (max 100 words)

3.9 Entity Type:

Choose an item.

3.10 Link to entity website

3.11 Names of Key Researchers + brief biography

OTHER PARTNER (if applicable)

Principal Investigator (PI) Entity name

Entity profile relevant to the project proposal (max 100 words)

Entity Type:

Choose an item.

Link to entity website

Names of Key Researchers + brief biography

**4. DECLARATIONS**

4.1 Personal Data Protection

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|

|  |  |
| --- | --- |
| A. | Contact email address of the Data Protection Officer: doyle.abela@gov.mt  |
| B. | The legal basis and purpose of processing:The personal data collected by the Malta Council for Science and Technology (hereinafter ‘the Council) via this written application for the aid and its subsequent processing by the Council to evaluate data subject’s request for aid under the Scheme is in line with:1. The relevant National Rules for Participation.
2. COMMISSION REGULATION (EU) No 1407/2013 of 18 December 2013 on the application of Articles 107 and 108 of the Treaty on the Functioning of the European Union to *de minimis* aid (*de minimis* Regulation) as amended by Commission Regulation (EU) 2020/972 of 2 July 2020, amending Regulation (EU) No 1407/203 as regards it prolongation and amending Regulation (EU) No 651/2014 as regards its prolongation and relevant adjustments.
3. Commission Regulation (EU) N°651/2014 of 17 June 2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty as amended by Commission Regulation (EU) No 2017/1084 of 14 June 2017 amending Regulation (EU) No 651/2014 as regards aid for port and airport infrastructure, notification thresholds for aid for culture and heritage conservation and aid for sport and multifunctional recreational infrastructures, and regional operating aid schemes for outermost regions and amending Regulation (EU) No 702/2014 as regards the calculation of eligible costs(hereinafter referred to as the ‘General Block Exemption Regulations’ (for Schemes notified under the General Block Exemption Regulations). Subsequently amended by Commission Regulation (EU) 2020/972 of 2 July 2020 amending Regulation (EU) No 1407/2013 as regards its prolongation and amending Regulation (EU) No 651/2014 as regards its prolongation and relevant adjustments, and as may be subsequently amended.
4. Data Protection Act, Chapter 586 of the Laws of Malta and Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation).
5. The legitimate basis to process personal data submitted by the data subject by virtue of his/her written application for aid is Regulation 6 (1)(b) of the General Data Protection Regulation (“GDPR”), as ‘*processing is necessary in order to take steps at the request of the data subject prior to entering into a contract*’.
 |
| C. | Data retention period:The data collected by the Council as submitted by the data subject via this written application for aid will be retained for a period of ten (10) years from the last aid granted to the Entity represented by the data subject in relation to this written application for aid, in line with the Scheme National Rules for Participation and Article 12 of the General Block Exemption Regulations or Article 6 of the *de minimis* Regulation. In the case of projects which are not awarded, data collected by the Council as submitted by the data subject, shall need to be retained for the duration of the funding programme or of the programming period. |
| D. | 1. Pursuant to the Regulation, you have the right to access the personal data, rectify inaccurate personal data, request to erase personal data and request the Council to restrict the processing of personal data.

To exercise such rights, you are to submit a written request to the Data Protection Officer via the contact e-mail address.Any erasing and/or rectification of personal data and/or restriction of processing as referred to above may:* 1. Render one or more cost items or the Undertaking ineligible for assistance under the Scheme or render void the Grant Agreement issued in favour of the Undertaking for assistance under the Scheme in relation to this written application for aid;
	2. Lead the Council to enforce a recovery of aid granted to the Undertaking as part of this written application for aid.
 |
| E. | Sharing of data where strictly necessary and required by law: For the purpose of processing this written application for aid in line with the National Rules for Participation, the General Block Exemption Regulation or the ‘*de minimis Regulation*’, the Council may share the data provided via this application with other Government Entities, subject that such processing satisfies at least one of the grounds listed under Regulation of the GDPR. The use of the Scarlet database owned by Jobs Plus shall also be used to aid in the interpretation of the ‘enterprise size declaration’, the ‘undertaking in difficulty’ and the ‘de minimis declaration’ forms. |
| F. | For the purpose of monitoring of aid in line with Article 6 of the *de minimis* Regulations and Articles 11 and 12 of the General Block Exemption Regulations or where legally required, any data provided as part of this written application for aid may be shared with the European Commission.   |
|  |  |
| G. | If you feel that your data protection rights have been infringed, you have the right to lodge a complaint with the Information and Data Protection Commissioner.  |
| H. | Authorisation to engage with the Council on matters related to this application.I the undersigned, as legal representative of the Applicant Undertaking, authorise the following Legal Bodies and/or Natural Persons to act on my behalf with the Council with respect to matters related to this written application for aid and any subsequent documentation exchanged between the two parties in relation to the same written application for aid.

|  |  |  |
| --- | --- | --- |
| **Name of Legal Entity** | **Name and Surname of Natural Person granted authorisation (1)** | **E-mail address of party granted authorisation (2)** |
|       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |
|       |       |       |

Note 1: Leave empty if authorisation is intended to any natural person engaged with the Legal Entity. Otherwise specify the name and surname of the person(s) working for the Legal Entity to whom the authorisation is intended.Note 2: Written communication with the Legal Entity and/or Natural Person granted authorisation via email will only be accepted via the email address specified in the table above. The Undersigned should be copied (via the email address specified in this application) in any communication between the Council and the Person granted authorisation as per above table.  |
| I. |

|  |  |
| --- | --- |
| **Name and Surname of person giving authorisation:** |       |
| **E-mail address of person giving authorisation:** |       |
| **Signature of person giving authorisation:** |       |
| **Designation:** |  |
| **Date:** | Click here to enter a date. |
| *The person giving authorisation should correspond to the data subject of personal data contained in this application as well as represent the Applicant Undertaking as its legal representative.* |
|  |

 |

 |  |

## Cumulation of Aid

The undersigned declares that aid approved under this incentive is in line with the terms and conditions set out in the National Rules for Participation and in line with Cumulation Article 8 of the Commission Regulation (EU) No 651/2014 of 17 June 2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty.

## 4.3 Double Funding

The undersigned confirms that there has not been any approval or has been granted any public funding, financing or fiscal benefit in respect to the cost items included in this request for aid and will not seek funding or fiscal benefits for these cost items through other **National** and/or **European Union** measures. Such measures may include:

* Schemes administered by Malta Council for Science & Technology (MCST), Malta Enterprise, the Planning and Priorities’ Coordination Division (PPCD), the Measure and Support Division, the Tourism and Sustainable Development Unit (TSDU), JobsPlus, the Energy and Water Agency, and other government-funded schemes operated by other entities (such as JAMIE financial instrument).
* Schemes funded through Horizon 2020, ERDF, ESF and any other European Union programmes/instruments.

## 4.4 Outstanding Recovery Order

The undersigned confirms that the applicant is not subject to an outstanding recovery order following a previous Commission decision declaring an aid illegal and incompatible with the internal market should be excluded from the scope of this Regulation.

## 4.5 Transparency Obligations

For any individual aid awarded in excess of EUR 500 000, the details of the beneficiary; the aid awarded; and the project details; shall be published as provided for in Article 9 of the COMMISSION REGULATION (EU) No 651/2014 of 17 June 2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty.

By submitting this application, I hereby acknowledge that the Council shall abide by any applicable transparency rules and may publish and make available to third parties’ information as required by such rules.

## Additional declarations

|  |
| --- |
| **For Malta-based Lead Applicant:** |
| **I confirm that:****The information given in this form is accurate to the best of my knowledge. I understand that if it is later established that the information is misrepresented, the application or funded project may be ineligible or terminated respectively.**  | **I agree** [ ]  |
| **I have read and I accept the terms and conditions stipulated within the declarations above and the National Rules for Participation and confirm that I agree with the eventual publication of personal data and project proposal content information of successful applicants, including the name of the entity, project contacts, title of proposal and abstract.** | **I agree** [ ]  |
| **I have never been found guilty by any competent Court in Malta or elsewhere of any crime[[1]](#footnote-1) and that I have never been adjudged bankrupt or insolvent by, or filed an application for insolvency before, any competent Court in Malta or elsewhere.** | **I agree** [ ]  |
| **I have never been disqualified[[2]](#footnote-2) or excluded from participation in any Public Tender either by the Government of Malta or by the European Union or by any public entity in Malta.** | **I agree** [ ]  |
| **I have never been disqualified[[3]](#footnote-3) or excluded from participation in any Public and/or European Union funding scheme.** | **I agree** [ ]  |
| **Name of Legal Representative:** |  |
| **Position in Entity:** |
| **Contact Details:** |
| **Signature of Legal Representative + Entity Stamp:** | **Date** |

|  |
| --- |
| **For other Malta-based partners (if applicable):** |
| **I confirm that:****The information given in this form is accurate to the best of my knowledge. I understand that if it is later established that the information is misrepresented, the application or funded project may be ineligible or terminated respectively.**  | **I agree** [ ]  |
| **I have read and I accept the terms and conditions stipulated within the declarations above and the National Rules for Participation and confirm that I agree with the eventual publication of personal data and project proposal content information of successful applicants, including the name of the entity, project contacts, title of proposal and abstract.** | **I agree** [ ]  |
| **I have never been found guilty by any competent Court in Malta or elsewhere of any crime[[4]](#footnote-4) and that I have never been adjudged bankrupt or insolvent by, or filed an application for insolvency before, any competent Court in Malta or elsewhere.** | **I agree** [ ]  |
| **I have never been disqualified[[5]](#footnote-5) or excluded from participation in any Public Tender either by the Government of Malta or by the European Union or by any public entity in Malta.** | **I agree** [ ]  |
| **I have never been disqualified[[6]](#footnote-6) or excluded from participation in any Public and/or European Union funding scheme.** | **I agree** [ ]  |
| **Name of Legal Representative:** |  |
| **Position in Entity:** |
| **Contact Details:** |
| **Signature of Legal Representative + Entity Stamp:** | **Date** |

|  |
| --- |
| **For other Malta-based partners (if applicable):** |
| **I confirm that:****The information given in this form is accurate to the best of my knowledge. I understand that if it is later established that the information is misrepresented, the application or funded project may be ineligible or terminated respectively.**  | **I agree** [ ]  |
| **I have read and I accept the terms and conditions stipulated within the declarations above and the National Rules for Participation and confirm that I agree with the eventual publication of personal data and project proposal content information of successful applicants, including the name of the entity, project contacts, title of proposal and abstract.** | **I agree** [ ]  |
| **I have never been found guilty by any competent Court in Malta or elsewhere of any crime[[7]](#footnote-7) and that I have never been adjudged bankrupt or insolvent by, or filed an application for insolvency before, any competent Court in Malta or elsewhere.** | **I agree** [ ]  |
| **I have never been disqualified[[8]](#footnote-8) or excluded from participation in any Public Tender either by the Government of Malta or by the European Union or by any public entity in Malta.** | **I agree** [ ]  |
| **I have never been disqualified[[9]](#footnote-9) or excluded from participation in any Public and/or European Union funding scheme.** | **I agree** [ ]  |
| **Name of Legal Representative:** |  |
| **Position in Entity:** |
| **Contact Details:** |
| **Signature of Legal Representative + Entity Stamp:** | **Date** |

## 5. Check List

Please ensure that the relevant annexes listed below are submitted with this application form.

[ ] The application form in MS Word (.docx) format and a signed scanned copy (to be sent by email). **Compulsory.**

[ ]  **Appendix I Consortium Agreement** signed by all (Malta based and China) Principal Investigators and any other partners. **Compulsory.**

[ ]  **Appendix II:** Intellectual Property (IP) AGREEMENT signed by all (Malta and China) Principal Investigators and any other partners. **Compulsory.**

**As applicable (depending on the funding modality foreseen)**

[ ]  **Annex I** *de Minimis* Declaration Form (for applications under Regulation A – *de minimis*)

[ ]  **Annex II** Undertaking in Difficulty Form (for applications under Regulation B – GBER)

[ ]  **Annex III** Signed declaration where State Aid is not applicable for Public Research and Knowledge Dissemination Organisations that do not carry out an economic activity within the meaning of Article 107 TFEU.

[ ]  **Annex IV** Signed Declaration where State Aid is not applicable for Public Entities whose activity does not constitute an economic activity within the meaning of Article 107 TFEU (Excluding Public Research and Dissemination Organisations)

**APPENDIX 1**

**AGREEMENT signed by all (Malta and China) Principal Investigators and any other partners.**

**Compulsory**

**APPENDIX 2:**

**INTELLECTUAL PROPERTY (IP) AGREEMENT signed by all (Malta and China) Principal Investigators and any other partners.**

**Compulsory**

1. *This Declaration does not extend to any traffic related offences where these have been made.* [↑](#footnote-ref-1)
2. *This Declaration does not extend to disqualification of any tender proposal due to technical reasons.* [↑](#footnote-ref-2)
3. *This Declaration does not extend to disqualification of any tender proposal due to technical reasons.* [↑](#footnote-ref-3)
4. *This Declaration does not extend to any traffic related offences where these have been made.* [↑](#footnote-ref-4)
5. *This Declaration does not extend to disqualification of any tender proposal due to technical reasons.* [↑](#footnote-ref-5)
6. *This Declaration does not extend to disqualification of any tender proposal due to technical reasons.* [↑](#footnote-ref-6)
7. *This Declaration does not extend to any traffic related offences where these have been made.* [↑](#footnote-ref-7)
8. *This Declaration does not extend to disqualification of any tender proposal due to technical reasons.* [↑](#footnote-ref-8)
9. *This Declaration does not extend to disqualification of any tender proposal due to technical reasons.* [↑](#footnote-ref-9)