**Annex IV**

**Annex IV.I Declaration where State Aid is not applicable for Public Research and Knowledge Dissemination Organisations that do not carry out an economic activity within the meaning of Article 107 TFEU**

***To be completed by EACH ACADEMIC Entity Where State Aid is not applicable***

Name of Entity:

The Choose an item. , declares the following:

the planned research is to be carried out in the context of its activities as a “research and knowledge dissemination organisation” as defined in the Commission Framework for State aid for research and development and innovation (2014/C 198/01) paragraph 15(ee), which carries out a non-economic activity in line with the following:

1. primary activities of research organisations and research infrastructures, in

particular:

* education for more and better skilled human resources.
* independent R&D for more knowledge and better understanding, including collaborative R&D where the research organisation or research infrastructure engages in effective collaboration;
* wide dissemination of research results on a non-exclusive and non-discriminatory basis, for example through teaching, open-access databases, open publications or open software;

(b) knowledge transfer activities, where they are conducted either by the research organisation or research infrastructure (including their departments or subsidiaries) or jointly with, or on behalf of other such entities, and where all profits from those activities are reinvested in the primary activities of the research organisation or research infrastructure. The non-economic nature of those activities is not prejudiced by contracting the provision of corresponding services to third parties by way of open tenders.

The Applicant intends to:

a) publicise widely the results of the research;

b) license on non-discriminatory terms any Intellectual Property Rights (IPRs) resulting from the research at the market price for the said IPR;

c) any income from the licensing of IPR shall be reinvested in the primary educational and research activities of the Beneficiary;

d) appropriate procedures shall be established to prevent the direct or indirect support of economic activity in the meaning of chapter 2 of the Commission Notice on the notion of State aid as referred to in Article 107(1) of the Treaty on the Functioning of the European Union (2016/C 262/01).

The Applicant understands that, should it be found to be in breach of the conditions for being exempt from State Aid regulations, the Managing Authority will enforce the retrieval of funds with interest, in part or in full, as the case may necessitate.

The Applicant also undertakes to comply faithfully and immediately with any decision of the European Commission or a Maltese judicial authority declaring Article 107(1) TFEU to be applicable to this Agreement.

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Name and Surname of Legal Representative

Date Click here to enter a date.

**ANNEX IV.II Declarations on Indirect State Aid**

This declaration concerns indirect state aid that may be transferred from a public academic/government entity in the course of collaboration on an MCST-funded research project, to one or more undertaking/s, being partnered within the said project. The public academic/government entities are to complete the below, jointly with the undertakings, to state any indirect state aid that is transferrable from the former to the latter, in the course of the planned research.

If indirect state aid is not applicable, please write NA in each entry and sign the declaration.

|  |  |  |
| --- | --- | --- |
| **Lead Partner (Public Academic/Government Entity)**  Click or tap here to enter text. | Insert Undertaking name  **Value in €** | Insert Undertaking name **Value in €** |
| Personnel |  |  |
| Click or tap here to enter text. |  |  |
| Equipment  Click or tap here to enter text. |  |  |
| Subcontracting  Click or tap here to enter text. |  |  |
| Travel  Click or tap here to enter text. |  |  |
| Other  Click or tap here to enter text. |  |  |
| ***Total*** | **\*** | **\*** |

\*Total indirect state aid is to be reported in future de minimis declarations by the undertaking.

Click or tap here to enter text.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name and Signature Legal Representative (Public Academic)

Date Click here to enter a date.

Click or tap here to enter text.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name and Signature of Legal Representative (1st Undertaking)

Date Click here to enter a date.

Click or tap here to enter text.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name and Signature of Legal Representative (2nd Undertaking, if applicable)

Date Click here to enter a date.