**FUSION R&I Technology Development Programme**

**2019 Call 2**

**Application Form**

Version 1.0

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| --- | --- |
| **Proposal Reference No.***Write the project number assigned to you for the Commercialisation Voucher Programme (CVP). Replace V with T.* *If you do not have a CVP number please leave this blank. A project number will be provided by the Council.*  | ***R&I-201X-XXX-T*** |
| **Full Project Title***The project title should not exceed 200 characters in length.* | brian warrington |
| **Acronym** | brian warrington |
| **Current TRL:** XX | **Proposed TRL:** XX |
| **Duration in Months** | **Start Date** *(The project must start by 1st July 2019 or as otherwise stated by the Council)* |
| **Abstract*****The abstract should not exceed 400 words.*** *(NB: Often would reflect the CVP application form)* |
| **Organisation Name** | **Organisation Type** | **Total Requested Funding (per organisation)** |
|  | brian warrington | brian warrington |
|  | brian warrington | brian warrington |
|  | brian warrington | brian warrington |
|  | **Total Grant Requested**  | **brian warrington** |

***Use this form by entering text in the grey fields and ticking tick boxes where applicable. Images may be referred to in the main text and inserted after the grey field for the relevant section. Any additional data can be placed in an appendix at the end of the proposal and referenced accordingly. Each appendix must not be longer than 2 pages each.***

***Kindly note that ‘Partner’ refers to the Participating Organisation. Do not change the format of this application form. Please delete the guidelines in italics before submitting your proposal.***

|  |  |
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| **Organisation Name** | **Application route** |
|  |  *de minimis aid* *(Option A)* |
|  *State Aid Not Applicable (Option B)* |
|  |  *de minimis aid* *(Option A)* |
|  *State Aid Not Applicable (Option B)* |
|  |  *de minimis aid* *(Option A)* |
|  *State Aid Not Applicable (Option B)* |

***Regarding the above table, please select the situational application of state aid that each entity will be applying under.***

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| ***In the following space, please provide a justification for each entity who selected the “State Aid Not Applicable” option.****- Max 300 words per entity* |
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* 1. **Deliverables**

*List the deliverables of the proposed project, specifying the month of completion relative to start of project e.g. Month 6, Month 12 and so on. Note that the deadline for any particular deliverable would be the end of the respective Month specified. Multiple tables are provided and should be filled in for each entity.*

*The deliverables should include:*

1. *Those specific to the project for example tangible events and/or reports related to installation, testing, implemented procedures and so on;*
2. *The ‘mandatory deliverables’ required by the Council as per the Rules for Participation ie.*
* *Publication of two articles per stage*
* *Four regular meetings per stage with the Council*
* *Reports to be submitted to the Council:*
	+ *Six-month reports*
	+ *End of stage technical reports*
	+ *End of stage financial reports*
	+ *Final audited financial report*
	+ *End of project technical report*
	+ *End of project TDP questionnaire*
* *Submit one research paper to an open access high impact factor journal*
* *One Half Day Dissemination event to be held at ESPLORA to be held in the last stage of the project (Should be included in each table under the same deliverable number)*

*The first few rows have been filled with the mandatory deliverables. Insert rows as necessary. Please sort the deliverables in chronological order.*

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| **Deliverables for**  | **Date** |
| D1. Regular meetings with the MCST | Month 3, 6, etc. |
| D2. 6 Month Report Stage 1, Stage 2, Stage 3 | Month 6, 18, 30 |
| D3. Two articles in local media per stage | Month 12, 24, 36 |
| D4. Technical Report Stage 1, Stage 2 | Month 12, 24 |
| D5. Financial Report Stage 1, Stage 2 | Month 13, 25 |
| D6. Half day event to be held at ESPLORA | Month 36 |
| D7.Final Technical Report | Month 36 |
| D8. TDP Questionnaire | Month 37 |
| D9. Audited financial Report | Month 37 |
| D10. One Research Paper in an open access, high impact factor journal  | Month brian warrington |
| D11. Filing of patent/other form of IP protection (*Recommended deliverable*) | Month brian warrington |
| D12. brian warrington | Month brian warrington |
| D13. brian warrington | Month brian warrington |
| Add as required | Add as required |

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| **Deliverables for**  | **Date** |
| D6. Half day event to be held at ESPLORA | Month 36 |
| D14. brian warrington | Month brian warrington |
| D15. brian warrington | Month brian warrington |
| Add as required | Add as required |

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| **Deliverables for "<Insert Partner 3 Name>"** | **Date** |
| D6. Half day event to be held at ESPLORA | Month 36 |
| D14. brian warrington | Month brian warrington |
| D15. brian warrington | Month brian warrington |
| Add as required | Add as required |

**NB: Progress Meeting**

*Applicants should note that, in addition to the above, a minimum of four progress meetings must be held with the Council per stage. The first meeting must take place within three months from the Start Date. Subsequent meetings would be scheduled during and/or after this first meeting.*

* 1. **Milestones**

*Please leave this section as is with simple amendments to the month number and major project achievements (Eg. Comissioning of prototype, isolation of compound, deployment of software, etc.).*

|  |  |
| --- | --- |
| **Project Milestones** | **Date** |
| Start Date / Start of Stage 1 | Month brian warrington |
| End of Stage 1 | Month brian warrington |
| Start of Stage 2 | Month brian warrington |
| End of Stage 2 | Month brian warrington |
| Start of Stage 3 | Month brian warrington |
| End of Stage 3/ End Date  | Month brian warrington |

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| 1. **Other Issues**
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*If applicable, briefly identify any gender, ethical or legal issues that may be connected with the proposed project. Otherwise enter “n/a”.*

As stated in the ‘Rules for Participation 2019’, in the event that two or more projects obtain the same mark following evaluation by the external evaluators, then the Council shall give priority to that project which provides the best consideration to:

* the implementation of gender equality in the research project
* other sources of co-financing aside from the industrial partner’s mandatory contribution. Such sources are to be listed in section 6 of the application form.

brian warrington

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| 1. **High Level Project Plan**
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*This section should include a list of deliverables and activities (tasks) that each participant is responsible for, the start date, end date and duration of the task. There is one mandatory work package: Project Management and will be work package 1 which will be lead to the coordinating entity and is partly filled in as an example.*

*Work packages should be divided according to the lead entity for that work package. In that respect, multiple tables are being provided and should be filled in for each entity separately. The 1st work package for each partner be entitled “<entity name> management” and will relate to the project management for that specific entity.*

|  |  |  |  |
| --- | --- | --- | --- |
| **Work Package Description for <Lead partner’s Organisation>** | **Start Date** | **End Date** | **Duration** |
| ***Overall Project*** | Month brian warrington | Month brian warrington | Month brian warrington |
| **Work package number** 1**Work Package leader** <Enter Name of Individual>**Work package title** Project Management**Work package description** *(max 100 words)*brian warrington**Deliverables pertaining to this Work Package** *(insert the number of the deliverable, ex. D1)*D1 Progress meetings with the CouncilD2 Progress monitoring and coordination with partnerD3 Recruitment D4 Reporting **Activities pertaining to this Work Package** *(provide a brief explanation on each activity – max. 50 words per activity)*Activity 1.1- Four progress meetings to be held with the Council per stage. - *The first meeting must take place within three months from the Start Date. Subsequent meetings would be scheduled during and/or after this first meeting.*Activity 2.1- Regular contact to be maintained with other project partners through meetings, email and Skype. – This is essential to ensure the timely delivery of the project.Activity 3.1– Engaging of the required personnel - brian warringtonActivity 4.1- Six Month Stage Reports *– Consists of a 1-2 page update on how the project is progressing in terms of achieving the deliverables and expenditure.*Activity 4.2 End of Stage/s Technical Reports – Overview of the scientific and technical data achieved in this stage. To be completed in the Council’s approved templates.Activity 4.3 End of Stage/s Financial Reports and Projections for next Stage – Overview of the financial data provided within the Council’s approved templates.Activity 4.5 End of Project Technical Report - Overview of the scientific and technical data achieved across the entire project. To be completed in the Council’s approved templates.Activity 4.6 End of Project Financial Report and Audit Report - Audited accounts provided by the appointed auditors.**Risks and mitigation strategies pertaining to this Work Package:** *(provide a brief description on each activity – max. 200 words per work package)*brian warrington | Month 1 | Month brian warrington | Monthsbrian warrington |
| **Work package number** brian warrington**Work Package leader** <Enter Name of Individual>**Work package title** brian warrington**Work package description** *(max 100 words)*brian warrington**Deliverables pertaining to this Work Package** *(insert the number of the deliverable, ex. D1)*brian warrington**Activities pertaining to this Work Package** *(provide a brief explanation on each activity – max. 50 words per activity)*brian warrington**Risks and mitigation strategies pertaining to this Work Package:** *(provide a brief description on each activity – max. 200 words per work package)*brian warrington | Month brian warrington | Month brian warrington | Month brian warrington |

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| --- | --- | --- | --- |
| **Work Package Description for <Partner one’s Organisation>** | **Start Date** | **End Date** | **Duration** |
| ***Overall Project*** | Month brian warrington | Month brian warrington | Month brian warrington |
| **Work package number** 1**Work Package leader** <Enter Name of Individual>**Work package title** <Insert Entity Name> Management**Work package description** *(max 100 words)*brian warrington**Deliverables pertaining to this Work Package** *(insert the number of the deliverable, ex. D1)*brian warrington**Activities pertaining to this Work Package** *(provide a brief explanation on each activity – max. 50 words per activity)*brian warrington**Risks and mitigation strategies pertaining to this Work Package:** *(provide a brief description on each activity – max. 200 words per work package)*brian warrington | Month 1 | Month brian warrington | Monthsbrian warrington |
| **Work package number** brian warrington**Work Package leader** <Enter Name of Individual>**Work package title** brian warrington**Work package description** *(max 100 words)*brian warrington**Deliverables pertaining to this Work Package** *(insert the number of the deliverable, ex. D1)*brian warrington**Activities pertaining to this Work Package** *(provide a brief explanation on each activity – max. 50 words per activity)*brian warrington**Risks and mitigation strategies pertaining to this Work Package:** *(provide a brief description on each activity – max. 200 words per work package)*brian warrington | Month brian warrington | Month brian warrington | Month brian warrington |

|  |  |  |  |
| --- | --- | --- | --- |
| **Work Package Description for <Partner two’s Organisation>** | **Start Date** | **End Date** | **Duration** |
| ***Overall Project*** | Month brian warrington | Month brian warrington | Month brian warrington |
| **Work package number** 1**Work Package leader** <Enter Name of Individual>**Work package title** <Insert Entity Name> Management**Work package description** *(max 100 words)*brian warrington**Deliverables pertaining to this Work Package** *(insert the number of the deliverable, ex. D1)*brian warrington**Activities pertaining to this Work Package** *(provide a brief explanation on each activity – max. 50 words per activity)*brian warrington**Risks and mitigation strategies pertaining to this Work Package:** *(provide a brief description on each activity – max. 200 words per work package)*brian warrington | Month 1 | Month brian warrington | Monthsbrian warrington |
| **Work package number** brian warrington**Work Package leader** <Enter Name of Individual>**Work package title** brian warrington**Work package description** *(max 100 words)*brian warrington**Deliverables pertaining to this Work Package** *(insert the number of the deliverable, ex. D1)*brian warrington**Activities pertaining to this Work Package** *(provide a brief explanation on each activity – max. 50 words per activity)*brian warrington**Risks and mitigation strategies pertaining to this Work Package:** *(provide a brief description on each activity – max. 200 words per work package)*brian warrington | Month brian warrington | Month brian warrington | Month brian warrington |

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| 1. **Gantt Chart**
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*The project Gantt chart should be inserted here. It should include a list of the work packages, deliverables and related activities on the left and a suitable time scale along the top. Each deliverable and/or activity should be represented by a bar. The position and length of the bars should reflect start dates, duration and end dates. The dates when all reports should be submitted should also be noted.*

brian warrington

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| 1. **Detailed information on experts who will work on the project.**
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*This section should provide an overview of the proposed consortium and establish their ability to carry out the project (e.g. track record, skills and competencies, etc.). Applicants should use this space to promote their expertise to external evaluators.*

*Relevant information on the individual should be submitted in order to show:*

*• If any of the individuals worked on a previous R&I Project that was successfully completed?*

*• If any of the individuals registered any patents or technology licence agreements as an outcome of an R&I Project in a related field?*

*• If any of the individuals published any scientific papers or supervised graduates or post graduates as an outcome of an R&I Project in a related field?*

*Should there be any changes to the key researchers highlighted herein, the Council must be notified in writing with immediate effect. If a key researcher has not been employed prior to the submission of this application form, a profile of the expertise required should be noted herein*

|  |  |
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| **Lead Partner** | ***Organisation***brian warrington |
| ***Brief Personal profile of key researchers******1.1*** 1.2  |
| ***CV of the Individuals working on the Project are to be submitted under Appendix 3*** *(an example can be found therein)* |

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| **Partner 2** | ***Organisation***brian warrington |
| ***Brief Personal profile of key researchers***2.12.2  |
| ***CV of the Individuals working on the Project are to be submitted under Appendix 3*** *(an example can be found therein)* |
| **Partner 3** | ***Organisation***brian warrington |
| ***Brief Personal profile of key researchers***3.1 3.2  |
| ***CV of the Individuals working on the Project are to be submitted under Appendix 3*** *(an example can be found therein)* |

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| 1. **Additional Outputs and Outcomes**
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| **Output and Outcome** |  |
| ***1. Employment*** |  |
| How many new jobs will be created ***throughout the lifetime*** ***of the project***? |  |
| How many post graduate students following either a master’s degree or a doctoral degree will be engaged ***throughout the lifetime of the project***? |  |
| How many new jobs will be created ***after the lifetime*** ***of the project***, particularly in the first 3 years?Yr 1, Yr 2, Yr 3 | , ,  |
| Will any of these new jobs be for people who obtain a doctoral degree?Yr 1, Yr 2, Yr 3 | , ,  |
| ***2. Investment*** |  |
| How much additional investment will the industrial partner contribute towards the project (***in addition to the co-financing element***) ***throughout the lifetime of the project***? |  |
| How much investment will the industrial partner/private investor contribute in order to bring the product, service or technology to the market, particularly in the first 3 years (***after the lifetime of the project***)? Yr 1Yr 2Yr 3 |  |
| Are any alternative sources of cofinancing such as crowdfunding, business angels, other funding programmes (EU and/or national) or financing packaging being sought? If yes, please specify the sources and the funding amount. |  |
| What is the additional revenue envisaged arising from this investment over the same 3 years.Yr 1Yr 2Yr 3 |  |
| 1. **Patents**
 |  |
| Number of patent applications foreseenPercentage of IP to be retained in Malta |  |
| **4. Publications** |  |
| Number of publications foreseen |  |

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| 1. **Budget**
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* 1. **Budget Summary by Organization**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **Eligible Direct Costs €** | **Eligible Indirect Costs €** | **Total Eligible Costs €** | **Requested Funding €** |
| Lead Partner brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Partner 2 brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Partner 3 brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| ***Total*** | **brian warrington** | **brian warrington** | **brian warrington** | **brian warrington** |

* 1. **Budget Detail by Organisation**

*Give an estimate of the project budget in Euros (€), broken down per participant per stage.*An excel sheet is uploaded on the website together with the application form to assist in the calculations.

1. *Eligible direct costs:*
	* *Personnel*

***Give details of position, hourly rate, duration in number of hours, in the format of: research assistant x €18.76/hour x 100 hours.***Hourly rates should include National Insurance and Inland Revenue and allowances.

* + *Equipment*
	+ *Subcontracting*
	+ *Travel*
	+ *Other (including scientific information and consumables)*
1. *Eligible indirect costs are calculated at 10% of the direct costs,* ***excluding the costs of (1) subcontracting, (2) items of equipment above €5000 and (3) consumables above €5000.*** Note that for equipment, the capping of €500 is per piece while for consumables, the capping of €500 is for the total amount per partner.
2. *Audit fees must form part of the indirect costs and therefore should not be input as a separate budget line.*
3. *The reserved €5,000 for dissemination costs (listed in the Rules for Participation 2019) should not be listed in the tables below. If there are to be dissemination costs that are not covered by this reserved amount, then such costs are to be listed under ‘Other’. Each partner applying under the de minimis regulation is to consider the full value of €5,000 dissemination funds as de minimis aid and should therefore ensure that this is reflected in their future de minimis aid declarations.*
4. *Total eligible cost is the sum of eligible direct and indirect costs*
5. *Requested Funding is calculated as a percentage of the total eligible cost*
	* *Maltese Public Entity, Higher Education Entities not undergoing an economic activity, Non Profit Making NGO or Non Profit Making Professional Body at 100%*
	* *Maltese Private Body (includes but not limited to limited liability companies, partnerships and Higher Education Institutes undergoing an economic activity at 75%*
6. *All figures should be provided to the* ***nearest Euro.***

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Lead Partner** ***brian warrington*** | **Eligible Direct Costs €** | **Eligible Indirect Costs €** | **Total Eligible****Costs €** | **Requested Funding €** |
| Personnel *(give details of position, duration, rate)**e.g. researcher x 100 hours x €18.76/hr* |  |  |  |  |
| brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Equipment brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Subcontracting brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Travelbrian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Other brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| ***Total*** | **brian warrington** | **brian warrington** | **brian warrington** | **brian warrington** |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  **Partner 2*****brian warrington*** | **Eligible Direct Costs €** | **Eligible Indirect Costs €** | **Total Eligible****Costs €** | **Requested Funding €** |
| Personnel *(give details of position, duration, rate)**e.g. researcher x 100 hours x €18.76/hr* |  |  |  |  |
| brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Equipment brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Subcontracting brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Travelbrian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Other brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| ***Total*** | **brian warrington** | **brian warrington** | **brian warrington** | **brian warrington** |

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| **Partner 3*****brian warrington*** | **Eligible Direct Costs €** | **Eligible Indirect Costs €** | **Total Eligible****Costs €** | **Requested Funding €** |
| Personnel *(give details of position, duration, rate)**e.g. researcher x 100 hours x €18.76/hr* |  |  |  |  |
| brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Equipment brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Subcontracting brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Travelbrian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Other brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| ***Total*** | **brian warrington** | **brian warrington** | **brian warrington** | **brian warrington** |

**c. Budget Detail per Organisation per Stage**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Lead Partner** ***Stage 1*** | **Eligible Direct Costs €** | **Eligible Indirect Costs €** | **Total Eligible****Costs €** | **Requested Funding €** |
| Personnel *(give details of position, duration, rate)**e.g. researcher x 100 hours x €18.76/hr* |  |  |  |  |
| brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Equipment brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Subcontracting brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Travelbrian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Other brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| ***Total*** | **brian warrington** | **brian warrington** | **brian warrington** | **brian warrington** |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Lead Partner** ***Stage 2*** | **Eligible Direct Costs €** | **Eligible Indirect Costs €** | **Total Eligible****Costs €** | **Requested Funding €** |
| Personnel *(give details of position, duration, rate)**e.g. researcher x 100 hours x €18.76/hr* |  |  |  |  |
| brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Equipment brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Subcontracting brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Travelbrian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Other brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| ***Total*** | **brian warrington** | **brian warrington** | **brian warrington** | **brian warrington** |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Lead Partner** ***Stage 3*** | **Eligible Direct Costs €** | **Eligible Indirect Costs €** | **Total Eligible****Costs €** | **Requested Funding €** |
| Personnel *(give details of position, duration, rate)**e.g. researcher x 100 hours x €18.76/hr* |  |  |  |  |
| brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Equipment brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Subcontracting brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Travelbrian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| Other brian warrington | brian warrington | brian warrington | brian warrington | brian warrington |
| ***Total*** | **brian warrington** | **brian warrington** | **brian warrington** | **brian warrington** |

Table c should be repeated for each partner.

**d. Summary of Stage Budget**

|  |  |  |  |
| --- | --- | --- | --- |
| **Stage** | **Start Month** | **End Month** | **Requested****Funding €** |
| Stage 1 | Month 1 | Month brian warrington | brian warrington |
| Stage 2 | Month brian warrington | Month brian warrington | brian warrington |
| Stage 3 (less the retention) |  Month brian warrington | Month brian warrington | brian warrington |
|  |  |  |  |
| **Retention** (20% of total requested funding) |  |  | brian warrington |
|  |  |  |  |
| **Total** | **brian warrington** |

*Retention: As described in the Rules for Participation, a retention consisting of 20% of the project grant shall be withheld by the Council and only released upon successful completion of the project. This is deducted from the funds allocated for the last stage and from the preceding stage, if necessary.*

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| 1. **Participant Details and Declaration Form**
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 *(To be filled by* ***each partner, including foreign partners****. In the case of foreign partners, the amount and type of contribution should be noted. Maximum of 2 pages per Participant, please duplicate accordingly)*

|  |
| --- |
| **Participant Details** |
| Organisation Name | brian warrington |
| Company Reg. No. | brian warrington |
| Organisation Role | [ ]  Lead Partner [ ]  Participant |
| Organisation Type | [ ]  Commercial Entity [ ]  Academic Institution [ ]  Government Entity [ ]  Professional Body [ ]  NGO[ ]  Other - please specify:  |
| Organisation Address | brian warrington |

|  |
| --- |
| **Project Contact for Organisation** |
| Name | brian warrington |
| Position | brian warrington |
| Mobile / Telephone / Fax | M brian warringtonT brian warrington F brian warrington |
| Email | brian warrington |

|  |
| --- |
| **Organisation Profile** |
| 1. Brief history, when established, number of employees.brian warrington2. Field of activity and core competencies.brian warrington3. Research capacity & track record in related activities.brian warrington4. Other relevant information.brian warrington |

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| **Declaration** |
| *I, brian warrington, declare and confirm that:-*1. *I have never been found guilty by any competent Court in Malta or elsewhere of any crime[[1]](#footnote-1) and that I have never been adjudged bankrupt or insolvent by, or filed an application for insolvency before, any competent Court in Malta or elsewhere.*
2. *I have never been disqualified[[2]](#footnote-2) or excluded from participation in any Public Tender either by the Government of Malta or by the European Union or by any public entity in Malta.*
3. *I have never been disqualified[[3]](#footnote-3) or excluded from participation in any Public and/or European Union funding scheme.*
 |
| **Signatures** |
| Signature of Project Contact for Organisation  | Signature of Legal Representative of the Organisation |
| Name brian warrington | Name brian warrington |
| Date *(handwritten)* | Date*(handwritten)* |

##

## APPENDIX 1

**State Aid Declaration (De Minimis)**

***To be completed by the undertaking***

If the submitted application is approved, the Project will benefit from *de minimis* State aid in line with *Commission Regulation (EU) No. 1407/2013 of 18 December 2013 on the application of Articles 107 and 108 of the Treaty on the Functioning of the European Union to de minimis aid, as may be amended*.

Commission Regulation (Eu) No. 1407/2013 allows a ‘single undertaking’ to receive an aggregate maximum amount of *de minimis* aid of €200,000 under all *de minimis* aid measures, over a period of three fiscal years. This aggregate maximum threshold applies in principle to all economic sectors with the exception of a ‘single undertaking’ performing road freight transport for hire or reward for which a lower de Minimis threshold of EUR 100,000 over a period of three ‘fiscal years’ applies. The agriculture and fisheries sectors are subject to different thresholds and criteria. For the purpose of this declaration the term ‘single undertaking’ shall have the meaning as established in *Commission Regulation (EU) No. 1407/2013.* Moreover ‘fiscal year’ means the fiscal year as used for tax purposes by the undertaking concerned.

This maximum threshold would include all State aid granted under this scheme and any other State aid measure granted under the *de Minimis* rule. Any *de Minimis* aid received in excess of the established threshold will have to be recovered, with interest, from the undertaking receiving the aid.

The following is an indicative list of the possible forms of State aid:

* Grants from public bodies
* Loans or loan guarantees at favourable rates
* Tax benefits
* Waiving or deferral of fees or interest normally due
* Marketing and advertising assistance
* Consultancy, training and other support provided either free or at a reduced rate
* Aid for investment in environmental projects or research and development assistance
* Purchase, rent or lease of immovable property at less than market rate.

Potentially any assistance from a public body may constitute State aid. Should you have any doubts whether any public assistance received is *de Minimis* aid, you should contact the agency or department from which the assistance was received in order to ascertain this.

**Declaration**

I declare that a comprehensive amount of *de Minimis* aid received to date during the current fiscal year and the previous two fiscal years is:

|  |  |  |  |
| --- | --- | --- | --- |
| **Fiscal Year 201X** | **Fiscal Year 201X** | **Fiscal Year** **201X** | **TOTAL** |
| € | € | € | € |

A breakdown of the source, type and amount of all *de Minimis* aid received as well as that applied for from any State aid grantor, is presented overleaf.

|  |  |  |
| --- | --- | --- |
|  |  |  |
| Business Undertaking (Full Legal Name)  |  | VAT Registration Number |
|  |  |  |
|  |  |  |
| Name and Surname (BLOCK CAPITALS)  |  | Position in Establishment |
|  |  |  |
|  |  |  |
| Signature  |  | Date |

**Detailed information concerning applicable State aid under the *de minimis* rule.**

*(Note: Information should include both State aid received as well as applications for de minimis State aid still pending approval by potential grantors)*

|  |  |  |  |
| --- | --- | --- | --- |
| **Date** | **Source/Grantor** | **Type of State Aid**  | **Amount in €** |
|  |  |  |  |
| *Eg: 2/01/2009* | *Central Government* | *Soft Loan Scheme* | *10,000* |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
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|  |  |  |  |

*An updated State Aid (De Minimis) Declaration form is to be submitted upon the signing of the Grant Agreement should the project be selected for funding.*

**APPENDIX 2**

**DECLARATIONS WHERE STATE AID IS NOT APPLICABLE**

**PUBLIC ACADEMIC ENTITIES (RESEARCH ORGANISATIONS)**

Project Application: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

The Applicant, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, declares the following:

the planned research is to be carried out in the context of its activities as a “research and knowledge dissemination organisation” as defined in the Commission Framework for State aid for research and development and innovation (2014/C 198/01) paragraph 15(ee), which carries out a non-economic activity in line with the following:

1. primary activities of research organisations and research infrastructures, in

particular:

* education for more and better skilled human resources.
* independent R&D for more knowledge and better understanding, including collaborative R&D where the research organisation or research infrastructure engages in effective collaboration;
* wide dissemination of research results on a non-exclusive and non-discriminatory basis, for example through teaching, open-access databases, open publications or open software;

(b) knowledge transfer activities, where they are conducted either by the research organisation or research infrastructure (including their departments or subsidiaries) or jointly with, or on behalf of other such entities, and where all profits from those activities are reinvested in the primary activities of the research organisation or research infrastructure. The non-economic nature of those activities is not prejudiced by contracting the provision of corresponding services to third parties by way of open tenders.

The Applicant intends to:

a) publicise widely the results of the research;

b) license on non-discriminatory terms any Intellectual Property Rights (IPRs) resulting from the research at the market price for the said IPR;

c) any income from the licensing of IPR shall be reinvested in the primary educational and research activities of the Beneficiary;

d) appropriate procedures shall be established to prevent the direct or indirect support of economic activity in the meaning of chapter 2 of the Commission Notice on the notion of State aid as referred to in Article 107(1) of the Treaty on the Functioning of the European Union (2016/C 262/01).

The Applicant understands that, should it be found to be in breach of the conditions for being exempt from State Aid regulations, the Managing Authority will enforce the retrieval of funds with interest, in part or in full, as the case may necessitate.

The Applicant also undertakes to comply faithfully and immediately with any decision of the European Commission or a Maltese judicial authority declaring Article 107(1) TFEU to be applicable to this Agreement.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Legal Representative

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date

**APPENDIX 3**

**DECLARATIONS WHERE STATE AID IS NOT APPLICABLE**

**ENTITIES WHOSE ACTIVITY DOES NOT CONSTITUTE AN ECONOMIC ACTIVITY IN THE MEANING OF ARTICLE 107 OF THE TREATY ON THE FUNCTIONING OF THE EUROPEAN UNION (EXCLUDING PUBLIC ACADEMIC ENTITIES)**

Project Application: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

The Applicant, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, declares the following:

State Aid within the meaning of Article 107TFEU is not applicable to the Applicant and/or the type of research being undertaken is claimed by the Applicant not to constitute an economic activity within the meaning of Article 107 of the Treaty on the Functioning of the European Union. Where applicable, applicants need to ensure adherence to Section 2.2 “Indirect State aid to undertakings through public funded research and knowledge dissemination organisations and research infrastructures” of the Framework for State aid for research and development and innovation (2014/C 198/01).

The Applicant intends to:

a) publicise widely the results of the research;

b) license on non-discriminatory terms any Intellectual Property Rights (IPRs) resulting from the research at the market price for the said IPR;

c) any income from the licensing of IPR shall be reinvested in the primary educational and research activities of the Beneficiary;

d) ensure that no funds provided by this Agreement cross-subsidises any economic activities that may be carried out by the Beneficiary, other partners in the project, or third parties.

The Applicant understands that, should it be found to be in breach of the conditions for being exempt from State Aid regulations, the Managing Authority will enforce the retrieval of funds with interest, in part or in full, as the case may necessitate.

The Beneficiary undertakes to comply faithfully and immediately with any decision of the European Commission or a Maltese judicial authority declaring Article 107(1) TFEU to be applicable to this Agreement.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Legal Representative

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date

**APPENDIX 4**

**DECLARATIONS ON INDIRECT DE MINIMIS AID**

This declaration concerns indirect de minimis aid that may be transferred from a public academic/government entity in the course of collaboration on an MCST-funded research project, to one or more undertaking/s, being partnered within the said project. The public academic/government entities are to complete the below, jointly with the undertakings, to state any indirect de minimis aid that is transferrable from the former to the latter, in the course of the planned research.

Project Application: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

|  |  |  |
| --- | --- | --- |
| **Lead Partner (Public Academic/Government Entity)*****brian warrington*** | Insert Undertaking name**Value in €** | Insert Undertaking name **Value in €** |
| Personnel  |  |  |
| brian warrington | brian warrington | brian warrington |
| Equipment brian warrington | brian warrington | brian warrington |
| Subcontracting brian warrington | brian warrington | brian warrington |
| Travelbrian warrington | brian warrington | brian warrington |
| Other brian warrington | brian warrington | brian warrington |
| ***Total*** | **brian warrington\*** | **brian warrington\*** |

\*Total indirect state aid is to be reporting in future de minimis declarations by the undertaking.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Legal Representative (Public Academic/Government Entity)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Legal Representative (Undertaking)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Legal Representative (Undertaking)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date

**APPENDIX 5**

**PRE-AGREED DEVIATIONS**

 Please tick if you have pre-agreed any changes to the mandatory deliverables or budgets during the Application Period. It is essential that the relevant correspondence, authorising such amendments is attached to this application form.

*Brief of any pre-agreed amendments.*

**APPENDIX 6 - CV TEMPLATES**

|  |  |
| --- | --- |
| PERSONAL INFORMATION | Replace with First name(s) Surname(s) |
|  |  Replace with telephone number  Replace with mobile number  |
|  State e-mail address  |

|  |  |
| --- | --- |
| Replace with dates (from - to) | Replace with occupation or position held |
|  | Replace with employer’s name and locality (if relevant, full address and website) |
|  | * Replace with main activities and responsibilities
 |
|  | Business or sector Replace with type of business or sector  |

|  |  |
| --- | --- |
|  WORK EXPERIENCE |   |

[Add separate entries for each experience relevant to the role in the project. Start from the most recent.]

|  |  |
| --- | --- |
| EDUCATION AND TRAINING |   |

[Add separate entries for each course, relevant to the role in the project. Start from the most recent.]

|  |  |  |
| --- | --- | --- |
| Replace with dates (from - to) | Replace with qualification awarded |  |
| Replace with education or training organisation’s name and locality (if relevant, country)  |
| * Replace with a list of principal subjects covered or skills acquired
 |

|  |  |
| --- | --- |
| Communication skills | Replace with your communication skills. Specify in what context they were acquired. Example:* good communication skills gained through my experience as sales manager
 |

|  |  |
| --- | --- |
| Organisational / managerial skills | Replace with your organisational / managerial skills. Specify in what context they were acquired. Example: * leadership (currently responsible for a team of 10 people)
 |

|  |  |
| --- | --- |
| Job-related skills | Replace with any job-related skills not listed elsewhere, but relevant to the role in the project. Specify in what context they were acquired. Example: * good command of quality control processes (currently responsible for quality audit)
 |
| Other skills relevant to the role in the project  | Replace with other relevant skills not already mentioned. Specify in what context they were acquired. Example:* carpentry
 |

|  |  |
| --- | --- |
| ADDITIONAL INFORMATION |   |

|  |  |
| --- | --- |
| PublicationsPresentationsProjectsConferencesSeminarsHonours and awardsMembershipsReferences | Replace with relevant publications, presentations, projects, conferences, seminars, honours and awards, memberships, references. Remove headings not relevant in the left column.Example of publication:* How to write a successful CV, New Associated Publishers, London, 2002.

Example of project:* Devon new public library. Principal architect in charge of design, production, bidding and construction supervision (2008-2012).
 |

*Note a different CV template (for example a Europass CV) may be used as long as all the sections above are included.*

**APPENDIX 7**

**CHECKLIST OF ATTACHMENTS**

The following is the list of items mentioned elsewhere in this form or in the Rules for Participation that are required as part of this submission. It is the responsibility of the Project Coordinator to ensure that all the information that applies to this application form is enclosed. Please submit the attachments as separate documents.

|  |  |  |
| --- | --- | --- |
|  | Yes | No |
| * The application form in MS Word (.docx) format and a signed scanned copy (to be sent by email or on a pen drive)
 | [ ]  | [ ]  |
| * An IP agreement signed by all Project Partners
 | [ ]  | [ ]  |
| * A dissemination and externalisation plan (recommended)
 | [ ]  | [ ]  |
| * Memorandum & Articles of Association of all partners

(except public entities) | [ ]  | [ ]  |
| * Audited financial statements for last 3 fiscal years of all partners. (In the event that the Partner is a start-up and the above documents are not available, the Partner shall provide the financial projections for three (3) years signed by an auditor, including:
* an income statement,
* a cash flow statement, and
* a statement of financial position)
 | [ ]  | [ ]  |
| * Where applicable, documentation pertaining to pre-agreed deviations to deliverables or budgets.
 | [ ]  | [ ]  |
| * Appendices 1-4: State Aid Declarations
 | [ ]  | [ ]  |
| * Appendix 5: Pre-Agreed Deviations
 | [ ]  | [ ]  |
| * Appendix 6: Curricula Vitae of key researchers including relevant track records. This should clearly establish that the Consortium has the potential to carry out the project.
 | [ ]  | [ ]  |
| * Appendix 7: Checklist of Attachments
 | [ ]  | [ ]  |

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature of Lead Partner’s Legal Representative

Project Coordinator

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature of Project Coordinator

<Insert Name of Project Coordinator>

Project Coordinator

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature of Partner 2

<Insert Name of Partner 2>

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature of Partner 3 *(If applicable)*

<Insert Name of Partner 3>

The signatories to this application form are hereby confirming that the FUSION Programme ‘Rules for participation 2019’are read and accepted.

1. *This Declaration does not extend to any traffic related offences where these have been made.* [↑](#footnote-ref-1)
2. *This Declaration does not extend to disqualification of any tender proposal due to technical reasons.* [↑](#footnote-ref-2)
3. *This Declaration does not extend to disqualification of any tender proposal due to technical reasons.* [↑](#footnote-ref-3)