

**National Application Form**

**SBEP First Joint Transnational Co-Funded Call 2023**

**Application Form**

Version: 1

 Issue Date: 13/02/2023

### Notes to Applicants

1. The official National Rules for Participation can be downloaded from the [Council’s website](http://mcst.gov.mt/). Kindly ensure that this application form is filled in with reference to the National Rules for Participation.
2. The National Application Form and any attached documents will be treated as confidential throughout and after the project appraisal process.
3. Only Application Forms which are complete and that include all relevant supporting documents will be evaluated.
4. Only electronically filled in application forms will be accepted. Should the application be hand-written or should the format of the application form be altered, the application form will not be evaluated.
5. All responses must be clearly explained and substantiated.
6. A complete National Application Form along with all relevant annexes/supporting documents must be submitted to the Malta Council for Science and Technology (MCST) via email on eusubmissions.mcst@gov.mt
7. The deadline for submission of this National Application Formis**14th April 2023, 23:59 CET.** Any submissions received after this date and time will be rejected.
8. This National Application Form will be evaluated, in combination with the project pre-proposal submitted to the SBEP Joint Call Secretariat by the Project Coordinator, as part of the evaluation process and eligibility check particularly to determine the type of research and deliverables carried out by the applicant. The Council reserves the right to request further information should this be required.

# 1. Applicant Details

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| **Legal Name of Undertaking / Entity** | Click or tap here to enter text. |
| **Name of Department/Institute/Centre** (if applicable) | Click or tap here to enter text. |
| **E-mail address:** | Click or tap here to enter text. |
| **Website address:** | Click or tap here to enter text. |
| **VAT number** (not applicable for public entities)**:** | Click or tap here to enter text. |
| **Legal Form of Undertaking / Entity:** | Choose an item. |
| **Registration/Identification number** (not applicable for public entities)**:** | Click or tap here to enter text. |
| **Entity / Undertaking Size:**  | Choose an item. |
| **Date Established (not applicable for public entities):** | Click or tap to enter a date. |
| **Business Activity** (please state NACE code):A list of NACE Codes may be accessed by [clicking here](https://ec.europa.eu/eurostat/documents/3859598/5902521/KS-RA-07-015-EN.PDF). | Click or tap here to enter text. |
| **Principal Investigator / Project Contact Point Information** | *Name:* Click or tap here to enter text.*Designation:*Click or tap here to enter text.*Contact Number:*Click or tap here to enter text.*E-mail address:*Click or tap here to enter text. |

# 2. Project Details

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| **Name of Proposal** | Click or tap here to enter text. |
| **Acronym of Proposal** | Click or tap here to enter text. |
| **Project Duration (in months)** | Click or tap here to enter text. |
| **Project Coordinator**  | Click or tap here to enter text. |
| **List of Partners in the consortium** | Click or tap here to enter text. |

##  Project Funding

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| **Overall Project Value for applicant filling in this Application Form (i.e., sum of requested funding and own funding) (€)** | Click or tap here to enter text. |
| **Amount of Public Funding Requested (€)** | Click or tap here to enter text. |
|  **Requested Aid intensity (%) –** *Select one of the following as applicable** de minimis – up to 75 %
* GBER – up to 100 % (based on size of undertaking, type of research, propensity to collaborate effectively/disseminate widely)
* Non-State Aid – up to 100 %
 |  Click or tap here to enter text. |
| **Research type of tasks undertaken by Malta-based applicant in the proposal**This should be classified as per the definitions of either ‘Fundamental Research, ‘Industrial Research’ and/or ‘Experimental Development’ in the National rules for participation. Please note that these classifications need to correspond to the activities that you will be undertaking. Such activities could be of mixed research type and might not necessarily be of the same research type as activities undertaken by other partners in the consortium.  | [ ] Fundamental Research[ ]  Industrial Research[ ]  Experimental Development[ ]  Mixed  |
| **Provide a clear and thorough justification of the selected research type(s)** | Click or tap here to enter text. |

# 3. Type of Financial Assistance

Costs must be in line with Section 4 of the National Rules for Participation. Please tick the type of costs foreseen. The full budget breakdown shall be requested during Stage 2 of the Call. This shall be reviewed and subsequently inserted in the Grant Agreement of successful projects.

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|  | **Choose one of the following funding modalities:** |
| ***de minimis*** [ ]  | **GBER** [ ]  | **Non-State Aid** [ ]  |
| **Choose the type of costs accordingly:** | Personnel Costs  | [ ]  | [ ]  | [ ]  |
| Instruments, Specialised Equipment and Research Consumables  | [ ]  | [ ]  | [ ]  |
| Travel and Subsistence | [ ]  |  | [ ]  |
| Other Operating Expenses **(please provide more details below)** | [ ]  | [ ]  | [ ]  |
| Costs of IP & knowledge transfer activities | [ ]  | [ ]  | [ ]  |
| Overheads  | [ ]  | [ ]  | [ ]  |
| Subcontracted activities **(please provide more details below)** | [ ]  | [ ]  | [ ]  |

**Please specify the Other Operating Expenses foreseen:**

*Please note that an end of project dissemination event is a mandatory deliverable.*

Click or tap here to enter text.

**Please specify the Subcontracted Activities foreseen:**

Click or tap here to enter text.

#  4. Declaration for GBER applicants

Declaration that an Undertaking is NOT an ‘Undertaking in Difficulty’

In line with Article 1(4)(c) GBER, aid under Regulation B of the National Rules for Participation – State Aid, may not be granted to undertakings in difficulty, unless the undertaking was not in difficulty on 31 December 2019 but became an undertaking in difficulty in the period from 1 January 2020 to 31 December 2021.

The definition of “undertaking in difficulty” (Article 2(18) GBER) is as follows:

(a) In the case of a limited liability company (other than an SME that has been in existence for less than three years), where more than half of its subscribed share capital has disappeared as a result of accumulated losses. This is the case when deduction of accumulated losses from reserves (and all other elements generally considered as part of the own funds of the company) leads to a negative cumulative amount that exceeds half of the subscribed share capital. For the purposes of this provision, ‘limited liability company’ refers in particular to the types of company mentioned in [Annex I of Directive 2013/34/EU](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:02014R0651-20170710#E0004) and ‘share capital’ includes, where relevant, any share premium.

(b) In the case of a company where at least some members have unlimited liability for the debt of the company (other than an SME that has been in existence for less than three years), where more than half of its capital as shown in the company accounts has disappeared as a result of accumulated losses. For the purposes of this provision, ‘a company where at least some members have unlimited liability for the debt of the company’ refers in particular to the types of company mentioned in Annex II of Directive 2013/34/EU.

(c) Where the undertaking is subject to collective insolvency proceedings or fulfils the criteria under its domestic law for being placed in collective insolvency proceedings at the request of its creditors.

(d) Where the undertaking has received rescue aid and has not yet reimbursed the loan or terminated the guarantee, or has received restructuring aid and is still subject to a restructuring plan.

(e) In the case of an undertaking that is not an SME, where, for the past two years:

(1) the undertaking's book debt to equity ratio has been greater than 7,5 and

(2) the undertaking's EBITDA interest coverage ratio has been below 1,0.

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| **I confirm that**:The Undertaking being represented in this application for aid does not fulfil the definition of an “undertaking in difficulty” set out in Article 2(18) of the General Block Exemption Regulation. Applicants must be aware that large undertakings must also abide to point (e) which is not applicable to SMEs. Furthermore, the applicant agrees to provide the required financial forms and documents as requested by the Council, in support of this Declaration, by the Stage 2 deadline.  | I agree [ ]  |

# 5. Other Declarations

### 5.1 Personal Data Protection

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| A. | Contact email address of the Data Protection Officer: dpo.mcst@gov.mt  |
| B. | The legal basis and purpose of processing:The personal data collected by the Malta Council for Science and Technology (hereinafter ‘the Council) through all documentation submitted, and its subsequent processing by the Council to evaluate data subject’s request for aid under the Scheme is in line with:1. The relevant National Rules for Participation of this Call.
2. Commission Regulation (EU) No 651/2014 of 17th June 2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty, as amended by Commission Regulation (EU) No 2017/1084 of 14 June 2017 amending Regulation (EU) No 651/2014 as regards aid for port and airport infrastructure, notification thresholds for aid for culture and heritage conservation and aid for sport and multifunctional recreational infrastructures, and regional operating aid schemes for outermost regions and amending Regulation (EU) No 702/2014 as regards the calculation of eligible costs, by Commission Regulation (EU) 2020/972 of 2 July 2020 amending Regulation (EU) No 1407/2013 as regards its prolongation and amending Regulation (EU) No 651/2014 as regards its prolongation and relevant adjustments, and by Commission Regulation (EU) 2021/1237 of 23 July 2021 amending Regulation (EU) No 651/2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty, and as may be subsequently amended, (hereinafter referred to as the ‘General Block Exemption Regulation’), in the case of those projects submitted under Regulation B of the National Rules for Participation – State aid.
3. Commission Regulation (EU) No 1407/2013 of 18 December 2013 on the application of Articles 107 and 108 of the Treaty on the Functioning of the European Union to de minimis aid (the de minimis Regulation), as amended by Commission Regulation (EU) 2020/972 of 2 July 2020, amending Regulation (EU) No 1407/2013 as regards its prolongation and amending Regulation (EU) No 651/2014 as regards its prolongation and relevant adjustments, in the case of those projects submitted under Regulation A of the National Rules for Participation – State aid.
4. Data Protection Act, Chapter 586 of the Laws of Malta and Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data and repealing Directive 95/46/EC (General Data Protection Regulation).
5. The legitimate basis to process personal data submitted by the data subject by virtue of his/her written application for aid is Regulation 6 (1)(b) of the General Data Protection Regulation (“GDPR”), as ‘*processing is necessary in order to take steps at the request of the data subject prior to entering into a contrac*t’.
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| C. | Data retention period:The data collected by the Council as submitted by the data subject via this written application for aid will be retained for a period of ten (10) years from the last aid granted to the Entity represented by the data subject in relation to this written application for aid, in line with the Scheme National Rules for Participation and Article 12 of the General Block Exemption Regulation (where applicable) or Article 6 of the *de minimis* Regulation (where applicable). In the case of projects which are not awarded, data collected by the Council as submitted by the data subject, shall need to be retained for the duration of the funding programme or of the programming period. |
| D. | Pursuant to the General Data Protection Regulation, you have the right to access the personal data, rectify inaccurate personal data, request to erase personal data, and request the Council to restrict the processing of personal data.To exercise such rights, you are to submit a written request to the Data Protection Officer via the contact e-mail address.Any erasing and/or rectification of personal data and/or restriction of processing as referred to above may:* 1. Render one or more cost items or the Entity ineligible for assistance under the Scheme or render void the Grant Agreement issued in favour of the Entity for assistance under the Scheme in relation to this written application for aid;
	2. Lead the Council to enforce a recovery of aid granted to the Entity as part of this written application for aid.
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| E. | Sharing of data where strictly necessary and required by law:For the purpose of processing this written application for aid in line with the National Rules for Participation for the Call, the General Block Exemption Regulation or the ‘*de minimis Regulation*’, the Council may share the data provided via this application with other Government Entities, subject that such processing satisfies at least one of the grounds listed under Regulation of the GDPR. The use of the Scarlet database owned by Jobs Plus shall also be used to aid in the interpretation of the ‘enterprise size declaration’, the ‘undertaking in difficulty’ and the ‘de minimis declaration’ forms. |
|  | For the purpose of monitoring of aid in line with Article 6 of the *de minimis* Regulation and Articles 11 and 12 of the General Block Exemption Regulation or where legally required, any data provided as part of this written application for aid may be shared with the European Commission.   |
|  | For any individual aid awarded in excess of €500 000 (or for beneficiaries active in primary agricultural production, each individual aid award for such production exceeding €60 000 and for beneficiaries active in the fishery and aquaculture sector, each individual aid award exceeding €30 000) as part of this written application for aid, the details of the Beneficiary, the awarded aid, and the project details shall be published as provided for in Article 9 of the General Block Exemption Regulation. |
|  | If you feel that your data protection rights have been infringed, you have the right to lodge a complaint with the Information and Data Protection Commissioner.  |
| F. | Authorisation to engage with the Council on matters related to this application.I the undersigned, as the legal representative of the Applicant Entity, authorise the following Legal Bodies and/or Natural Persons to act on my behalf with the Council concerning matters related to this written application for aid and any subsequent documentation exchanged between the two parties concerning the same written application for aid.

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| **Name of Legal Entity** | **Name and Surname of Natural Person granted authorisation(1)** | **E-mail address of party granted authorisation(2)** |
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Note 1: Leave empty if authorisation is intended for any natural person engaged with the Legal Entity. Otherwise, specify the name and surname of the person(s) working for the Legal Entity to whom the authorisation is intended.Note 2: Written communication with the Legal Entity and/or Natural Person granted authorisation via email will only be accepted via the email address specified in the table above.  |
| G. |

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| **Name and Surname of the person giving authorisation:** |       |
| **E-mail address of the person giving authorisation:** |       |
| **Signature of person giving authorisation:** |       |
| **Designation:** |  |
| **Date:** | Click here to enter a date. |
| *The person giving authorisation should correspond to the data subject of personal data contained in this application as well as represent the Applicant Entity as its legal representative.* |
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### 5.2 Cumulation of Aid

The undersigned declares that aid approved under this incentive is in line with the terms and conditions set out in the National Rules for Participation and in line with Article 5 of Commission Regulation (EU) No 1407/2013 of 18 December 2013 on the application of Articles 107 and 108 of the Treaty on the Functioning of the European Union to de minimis aid, as amended, in the case of those projects submitted under Regulation A of the National Rules for Participation – State aid, or with Article 8 of Commission Regulation (EU) No 651/2014 of 17 June 2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty, as amended, in the case of those projects submitted under Regulation B of the National Rules for Participation – State aid.

### 5.3 Double Funding

The undersigned confirms that there has not been any approval or has been granted any public funding, financing or fiscal benefit in respect to the cost items included in this request for aid and will not seek funding or fiscal benefits for these cost items through other **National** and/or **European Union** measures. Such measures may include:

* Schemes administered by Malta Council for Science & Technology (MCST), Malta Enterprise, the Planning and Priorities’ Coordination Division (PPCD), the Measure and Support Division, the Tourism and Sustainable Development Unit (TSDU), JobsPlus, the Energy and Water Agency, and other government-funded schemes operated by other entities (such as JAMIE financial instrument).
* Schemes funded through Horizon 2020, ERDF, ESF and any other European Union programmes/instruments.
* In the case where the application covers work that is part of a larger project, the Applicant must submit a table as an appendix to the Application Form that shows a comprehensive list of the items of work and the source of funding for each item.

### 5.4 Outstanding Recovery Order

The undersigned confirms that the applicant is not subject to an outstanding recovery order following a previous Commission decision declaring an aid granted by Malta illegal and incompatible with the internal market.

### 5.5 Transparency Obligations

For any individual aid awarded in excess of EUR 500,000 (or for beneficiaries active in primary agricultural production, each individual aid award for such production exceeding EUR 60,000 and for beneficiaries active in the fishery and aquaculture sector, each individual aid award exceeding EUR 30,000), the details of the beneficiary; the aid awarded; and the project details; shall be published as provided for in Article 9 of the Commission Regulation (EU) No 651/2014 of 17 June 2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty, as amended.

**By submitting this application, I hereby acknowledge that the Council shall abide by any applicable transparency rules and may publish and make available to third parties’ information as required by such rules.**

## Additional declarations

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| **Declarations of Malta-based Applicant** |
| **I confirm that:**The information given in this form is accurate to the best of my knowledge. I understand that if it is later established that the information is misrepresented, the application or funded project may be ineligible or terminated respectively.  | I agree [ ]  |
| I have read and I accept the terms and conditions stipulated within the declarations above and the National Rules for Participation and confirm that I agree with the eventual publication of personal data and project proposal content information of successful applicants, including the name of the entity, project contacts, title of proposal and abstract. | I agree [ ]  |
| I have never been found guilty by any competent Court in Malta or elsewhere of any crime[[1]](#footnote-1) and that I have never been adjudged bankrupt or insolvent by, or filed an application for insolvency before, any competent Court in Malta or elsewhere. | I agree [ ]  |
| I have never been disqualified[[2]](#footnote-2) or excluded from participation in any Public Tender either by the Government of Malta or by the European Union or by any public entity in Malta. | I agree [ ]  |
| I have never been disqualified[[3]](#footnote-3) or excluded from participation in any Public and/or European Union funding scheme. | I agree [ ]  |
| **Name of Legal Representative**Click or tap here to enter text. |  |
| **Position in Entity:** Click or tap here to enter text. |
| **Contact Details:**Click or tap here to enter text. |
| **Signature of Legal Representative + Entity Stamp:**Click or tap here to enter text. | **Date:**Click or tap here to enter text. |

# 5. Check List of Attachments

Please ensure that the following annexes are submitted with this application form, depending on the Funding modality you will be following. All annexes can be downloaded from the Council’s website and should be submitted along with this application form by the deadline.

[ ] A signed copy of this **National Application form** in MS Word (.docx) and PDF formats.

Applications where **State Aid is not applicable** should be accompanied by Annex I or Annex II depending on the entity as follows, in Stage 1:

[ ]  **Annex I:** Signed Declaration where State Aid is not applicable for Public Entities whose activity does not constitute an economic activity within the meaning of Article 107 TFEU (Excluding Public Research and Knowledge Dissemination Organisations).

[ ]  **Annex II:** Signed declaration where State Aid is not applicable for Public Research and Knowledge Dissemination Organisations that do not carry out an economic activity within the meaning of Article 107 TFEU

**Please tick accordingly and choose only one (applicable only for applications under the State Aid route i.e., *de minimis* or GBER):**

[ ] With this application form, I have annexed the Memorandum and Articles of Association (and any other corresponding amendments) or other constitutive document.

[ ] I hereby authorise the Council to obtain the Memorandum and Articles of Association or other constitutive document through the Registry of Companies.

**Please note that other forms of documentation can be requested depending on the nature of the undertaking. Templates shall be provided by the Council.**

**For instance,**

Entities where State Aid is not applicable will be asked to submit the following in Stage 2:

* **Annex VII:** Detailed budget breakdown form

Entities applying for aid under **Regulation A (*de Minimis)***will be asked to submit the following in Stage 2:

* **Annex III:** *de Minimis* Declaration Form
* **Annex VII:** Detailed budget breakdown form
* Audited financial statements for the last three (3) years\*

Entities applying for aid under **Regulation B (GBER)** will be asked to submit the following in Stage 2:

* **Annex IV:** Enterprise Size Declaration Form
* **Annex V:** Undertaking is in Difficulty Form
* **Annex VII:** GBER Research Type Declaration Form
* **Annex VIII:** GBER budget breakdown form
* Audited financial statements for the last three (3) years\*

*\*In the case of start-ups that do not have the above documents available, please provide financial projections for three (3) years signed by an auditor (to include an income statement, a cash flow statement and a statement of financial position) in Stage 2.*

1. *This Declaration does not extend to any traffic related offences where these have been made.* [↑](#footnote-ref-1)
2. *This Declaration does not extend to disqualification of any tender proposal due to technical reasons.* [↑](#footnote-ref-2)
3. *This Declaration does not extend to disqualification of any tender proposal due to technical reasons.* [↑](#footnote-ref-3)