

**National Application Form – BLUEBIO Call**

**Application Form**

 Version: 1

 Issue Date: June 2020

**Notes to Applicants**

1. The official National Rules for Participation can be downloaded from the [Council’s website](http://mcst.gov.mt/).
2. The National Application Form and any attached documents will be treated as confidential throughout and after the project appraisal process.
3. Only Application Forms which are complete and that include all relevant supporting documents will be evaluated.
4. Only electronically filled in application forms will be accepted. Should the application be hand-written or should the format of the application form be altered, the application form will not be evaluated.
5. All responses must be clearly explained and substantiated.
6. A complete National Application Form must be submitted to the Malta Council for Science and Technology (MCST) via email on eusubmissions.mcst@gov.mt
7. Deadline for submissionis**14th September 2020 at 23:59 CET.** Any submissions received after this date and time will be rejected.
8. This National Application Form will be evaluated and checked for eligibility in combination with the project pre-proposal submitted to the *BLUEBIO submission platform*. The Council reserves the right to request further information should this be required.

## 1. Applicant Details

* 1. Legal Name of Undertaking / Entity:

* 1. E-mail address:

* 1. Website address:

* 1. VAT Number (not applicable to public entities):

* 1. Legal Form of Undertaking / Entity:

Public Entity

* 1. Registration/Identification Number (not applicable to public entities):

* 1. Entity / Undertaking Size:

Choose an item.

* 1. Date Established (not applicable for public entities):

Click here to enter a date.

* 1. Project Contact Point Information

**Name:**

**Designation:**

**Contact Number:**

**E-mail address:**

* 1. Business Activity

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| **Please state NACE Code**       A list of NACE Codes may be accessed by [clicking here](https://ec.europa.eu/eurostat/documents/3859598/5902521/KS-RA-07-015-EN.PDF).  |

**2. Project Details**

2.1. Name of Proposal:

2.2. Proposal Acronym:

2.3 Project Coordinator

2.4 List of Partners in the consortium

2.5 Start of Works

 [ ]  I confirm that Start of Works will commence after Grant Agreement signature

2.6 Project Duration (in months):

2.7 Type of Research undertaken by beneficiary in the proposal

This should be classified as per Section 1.3of the National Rules for Participation

Choose an item.

2.8. Does the project involve effective collaboration as defined in Section 4.1.2 of the National Rules?

 Choose an item.

If yes, please provide explanation as to why this is so:

Click or tap here to enter text.

**3. Applicable State Aid Regulation**

Please tick which State Aid Regulation you will be following.

Applicants may only opt for **one** option. Selecting more than one option will render the application ineligible.

More information is provided in the National Rules for Participation and the Council can also be contacted for further clarifications:

[ ] Regulation A (*de Minimis*)

[ ] Regulation B (GBER)

*\*N.B Travel costs are not considered as eligible costs under Regulation B.*

[ ] State Aid not applicable (Please sign either Appendix I or II of the application form provided). If the activities undertaken by the applicant are found to have State Aid implications, the applicant would need to follow State Aid regulations.

**4. Type of Assistance Requested**

Assistance must tally with the preferred option highlighted in Section 3.

**4.1 Regulation A**

Costs must be in line with Section 4.1.1 of the National Rules for Participation (State Aid version). Please tick the type of costs foreseen.

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| --- | --- |
| **Tick were appropriate** | **Type of Cost** |
|[ ]  Personnel Costs  |
|[ ]  Specialised Equipment and Research Consumables  |
|[ ]  Travel and Subsistence |
|[ ]  Other Operating Expenses (please specify below) |
|[ ]  Costs of IP & knowledge transfer activities |
|[ ]  Overheads  |
|[ ]  Subcontracted activities  |

**Please specify the Other Operating Expenses foreseen and any other comments you would which to include:**

Click or tap here to enter text.

**4.1.1 Requested Aid Intensity**

Please consult Section 4.1.1 of the National Rules for Participation to determine eligible aid intensity

Choose an item.

**4.1.2 Amount of Public Funding Requested by the National Partner**

 €

**4.1.3 Total eligible costs for the National Partner (requested funding and own funding)**

 €

**4.2 Regulation B**

Costs must be in line with Section 4.1.2 of the Rules for Participation (State Aid version). Please tick the type of costs foreseen.

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| --- | --- |
| **Tick were appropriate** | **Type of Cost** |
|[ ]  Personnel Costs  |
|[ ]  Specialised equipment & research consumables |
|[ ]  Costs of IP and knowledge transfer activities |
|[ ]  Other Operating Expenses |
|[ ]  Overheads  |
|[ ]  Subcontracted activities |

**Please specify the Other Operating Expenses foreseen and any other comments you would which to include:**

Click or tap here to enter text.

**4.2.1 Requested Aid Intensity**

Please consult Section 4.1.2 of the National Rules for Participation to determine eligible aid intensity

Choose an item.

**4.2.2 Amount of Public Funding Requested by the National Partner**

 €

**4.2.3 Total eligible costs for the National Partner (requested funding and own funding)**

 €

**4.3 State Aid not applicable**

Costs must be in line with Section 4.1 of the National Rules for Participation (non-State Aid version). Please tick the type of costs foreseen.

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| **Tick were appropriate** | **Type of Cost** |
|[ ]  Personnel Costs  |
|[ ]  Specialised Equipment and Research Consumables  |
|[ ]  Travel and Subsistence |
|[ ]  Other Operating Expenses (please specify below) |
|[ ]  Costs of IP & knowledge transfer activities |
|[ ]  Overheads  |
|[ ]  Subcontracted activities  |

**Please specify the Other Operating Expenses foreseen and any other comments you would which to include:**

Click or tap here to enter text.

**4.3.1 Requested Aid Intensity**

Choose an item.

**4.3.2 Amount of Public Funding Requested by the National Partner**

 €

**4.3.3 Total eligible costs for the National Partner (requested funding and own funding)**

 €

**5. Determination as to whether an Undertaking is in Difficulty**

**This Section is to be filled in by Limited Liability Companies who are applying for assistance under Regulation B ONLY**

5.1 Has the undertaking received any rescue aid and has not yet reimbursed the loan or terminated the guarantee, or has received restructuring aid and is still subject to a restructuring plan?

**Choose an item.**

5.2 Is the undertaking subject to collective insolvency proceedings or risks being placed in collective insolvency proceedings at the request of its creditors?

**Choose an item.**

5.3 At least some members of the applicant company have an unlimited liability for the debt of the company (other than an SME that has been in existence for less than three years), where more than half of its capital as shown in the company accounts has disappeared as a result of accumulated losses.

**Choose an item.**

**Limited Liability Companies need to submit Annex III (Undertaking in difficulty Form)**

## 6. Declaration

## Personal Data Protection

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| A. | Contact email address of the Data Protection Officer: doyle.abela@gov.mt  |
| B. | The legal basis and purpose of processing:The personal data collected by the Malta Council for Science and Technology (hereinafter ‘the Council) via this written application for the aid and its subsequent processing by the Council to evaluate data subject’s request for aid under the Scheme is in line with:1. The relevant National Rules for the Call;
2. Commission Regulation (EU) No 651/2014 of 17th June 2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty as amended by Commission Regulation (EU) No 2017/1084 of 14 June 2017 amending Regulation (EU) No 651/2014 as regards aid for port and airport infrastructure, notification thresholds for aid for culture and heritage conservation and for aid for sport and multifunctional recreational infrastructures, and regional operating aid schemes for outermost regions and amending Regulation (EU) No 702/2014 as regards the calculation of eligible costs(hereinafter referred to as the ‘General Block Exemption Regulations’ (for Schemes notified under the General Block Exemption Regulations);
3. COMMISSION REGULATION (EU) No 1407/2013 of 18 December 2013 on the application of Articles 107 and 108 of the Treaty on the Functioning of the European Union to *de minimis* aid (*de minimis* Regulation);
4. Data Protection Act, Chapter 586 of the Laws of Malta and Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation).

The legitimate basis to process personal data submitted by the data subject by virtue of his/her written application for aid is Regulation 6 (1)(b) of the General Data Protection Regulation (“GDPR”), as ‘*processing is necessary in order to take steps at the request of the data subject prior to entering into a contract*’. |
| C. | Data retention period:The data collected by the Council as submitted by the data subject via this written application for aid will be retained for a period of ten (10) years from the last aid granted to the Entity represented by the data subject in relation to this written application for aid, in line with the Scheme National Rules for Participation and Article 12 of the General Block Exemption Regulations or Article 6 of the *de minimis* Regulation. |
| D. | 1. Pursuant to the Regulation, you have the right to access the personal data, rectify inaccurate personal data, request to erase personal data and request the Council to restrict the processing of personal data.

To exercise such rights, you are to submit a written request to the Data Protection Officer via the contact e-mail address.Any erasing and/or rectification of personal data and/or restriction of processing as referred to above may:* 1. Render one or more cost items or the Entity ineligible for assistance under the Scheme or render void the Grant Agreement issued in favour of the Entity for assistance under the Scheme in relation to this written application for aid;
	2. Lead the Council to enforce a recovery of aid granted to the Entity as part of this written application for aid.
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| E. | Sharing of data where strictly necessary and required by law:For the purpose of processing this written application for aid in line with the National Regulation for the Call, the General Block Exemption Regulations or the ‘*de minimis Regulations*’, the Council shall share the data provided via this application with other Government Entities, subject that such processing satisfies at least one of the grounds listed under Regulation of the GDPR. |
| F. | For the purpose of monitoring of aid in line with Article 6 of the *de minimis* Regulations and Articles 11 and 12 of the General Block Exemption Regulations or where legally required, any data provided as part of this written application for aid may be shared with the European Commission.   |
| G. | For any individual aid awarded in excess of €500,000 as part of this written application for aid, the details of the Beneficiary, the awarded aid and the project details shall be published as provided for in Article 9 of the General Block Exemption Regulation. |
| H. | If you feel that your data protection rights have been infringed, you have the right to lodge a complaint with the Information and Data Protection Commissioner.  |
| I. | Authorisation to engage with the Council on matters related to this application.I the undersigned, as legal representative of the Applicant Entity, authorise the following Legal Bodies and/or Natural Persons to act on my behalf with the Council with respect to matters related to this written application for aid and any subsequent documentation exchanged between the two parties in relation to the same written application for aid.

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| **Name of Legal Entity** | **Name and Surname of Natural Person granted authorisation(1)** | **E-mail address of party granted authorisation(2)** |
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Note 1: Leave empty if authorisation is intended to any natural person engaged with the Legal Entity. Otherwise specify the name and surname of the person(s) working for the Legal Entity to whom the authorisation is intended.Note 2: Written communication with the Legal Entity and/or Natural Person granted authorisation via email will only be accepted via the email address specified in the table above. The Undersigned should be copied (via the email address specified in this application) in any communication between the Council and the Person granted authorisation as per above table.  |
| J. |

|  |  |
| --- | --- |
| **Name and Surname of person giving authorisation:** |       |
| **E-mail address of person giving authorisation:** |       |
| **Signature of person giving authorisation:** |       |
| **Designation:** |  |
| **Date:** | Click here to enter a date. |
| *The person giving authorisation should correspond to the data subject of personal data contained in this application as well as represent the Applicant Entity as its legal representative.* |
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## 6.2 Cumulation of Aid

The undersigned declares that aid approved under this incentive is in line with the terms and conditions set out in the National Rules for Participation and in line with Cumulation Article 8 of the Commission Regulation (EU) No 651/2014 of 17 June 2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty.

## 6.3 Double Funding

The undersigned confirms that there has not been any approval or has been granted any public funding, financing or fiscal benefit in respect to the cost items included in this request for aid and will not seek funding or fiscal benefits for these cost items through other **National** and/or **European Union** measures. Such measures may include:

* Schemes administered by Malta Council for Science & Technology (MCST), Malta Enterprise, the Planning and Priorities’ Coordination Division (PPCD), the Measure and Support Division, the Tourism and Sustainable Development Unit (TSDU), JobsPlus, the Energy and Water Agency and other government funded schemes operated by other entities (such as JAMIE financial instrument).
* Schemes funded through Horizon 2020, ERDF, ESF and any other European Union programmes/instruments.

## Outstanding Recovery Order

The undersigned confirms that the applicant is not subject to an outstanding recovery order following a previous Commission decision declaring an aid illegal and incompatible with the internal market should be excluded from the scope of this Regulation.

## Transparency Obligations

For any individual aid awarded in excess of EUR 500 000, the details of the beneficiary; the aid awarded; and the project details; shall be published as provided for in Article 9 of the COMMISSION REGULATION (EU) No 651/2014 of 17 June 2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty.

By submitting this application, I hereby acknowledge that the Council shall abide with any applicable transparency rules and may publish and make available to third parties’ information as required by such rules.

## Additional declarations

|  |  |
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| **I confirm that:**The information given in this form is accurate to the best of my knowledge. I understand that if it is later established that the information is misrepresented, the application or funded project may be ineligible or terminated respectively.  | I agree [ ]  |
| I have read and I accept the terms and conditions stipulated within the declarations above and the National Rules for Participation and confirm that I agree with the eventual publication of personal data and project proposal content information of successful applicants, including name of entity, project contacts, title of proposal and abstract. | I agree [ ]  |
| I have never been found guilty by any competent Court in Malta or elsewhere of any crime[[1]](#footnote-1) and that I have never been adjudged bankrupt or insolvent by, or filed an application for insolvency before, any competent Court in Malta or elsewhere. | I agree [ ]  |
| I have never been disqualified[[2]](#footnote-2) or excluded from participation in any Public Tender either by the Government of Malta or by the European Union or by any public entity in Malta. | I agree [ ]  |
| I have never been disqualified[[3]](#footnote-3) or excluded from participation in any Public and/or European Union funding scheme. | I agree [ ]  |
| **Name of Legal Representative:** |  |
| **Position in Entity:** |
| **Contact Details:** |
| **Signature of Legal Representative + Entity Stamp:** | **Date** |

## 7. Check List

Please ensure that the following annexes are attached to your application. This section applies only to entities applying under Regulation A or Regulation B only.

**Annex I (*de minimis* Form) may be downloaded from the Council’s website and attached to this application by entities applying under Regulation A ONLY**

[ ]  **Annex I** De Minimis Form

**Annex II (Enterprise Size Declaration Form) and Annex III (Undertaking in Difficulty Form) may be downloaded from the Council’s website and are to be attached by Limited Liability Companies applying under Regulation B ONLY**

[ ]  **Annex II** Enterprise Size Declaration Form

[ ]  **Annex III** Undertaking in Difficulty Form

**Please tick accordingly and choose only one\* (applicable only for limited liability companies):**

[ ] With this application, I have annexed the memorandum of articles of Association or other constitutive document as well as the audited financial statements for the last three (3) years.

[ ] I hereby authorise the Council to obtain the memorandum of articles of Association or other constitutive document as well as the audited financial statements for the last three (3) years through the Malta Business Registry (MBR).

*\*In the case of start-ups that do not have the above documents available, please annex with this application, financial projects for three (3) years signed by an auditor (to include an income statement, a cash flow statement and a statement of financial position).*

**Appendix 1: Declaration where State Aid is not applicable for Public Research and Dissemination Organisations that do not carry out an economic activity within the meaning of Article 107 TFEU**

***To be completed by EACH ACADEMIC Entity Where State Aid is not applicable***

Name of Entity:

The Choose an item. , declares the following:

the planned research is to be carried out in the context of its activities as a “research and knowledge dissemination organisation” as defined in the Commission Framework for State aid for research and development and innovation (2014/C 198/01) paragraph 15(ee), which carries out a non-economic activity in line with the following:

1. primary activities of research organisations and research infrastructures, in

particular:

* education for more and better skilled human resources.
* independent R&D for more knowledge and better understanding, including collaborative R&D where the research organisation or research infrastructure engages in effective collaboration;
* wide dissemination of research results on a non-exclusive and non-discriminatory basis, for example through teaching, open-access databases, open publications or open software;

(b) knowledge transfer activities, where they are conducted either by the research organisation or research infrastructure (including their departments or subsidiaries) or jointly with, or on behalf of other such entities, and where all profits from those activities are reinvested in the primary activities of the research organisation or research infrastructure. The non-economic nature of those activities is not prejudiced by contracting the provision of corresponding services to third parties by way of open tenders.

The Applicant intends to:

a) publicise widely the results of the research;

b) license on non-discriminatory terms any Intellectual Property Rights (IPRs) resulting from the research at the market price for the said IPR;

c) any income from the licensing of IPR shall be reinvested in the primary educational and research activities of the Beneficiary;

d) appropriate procedures shall be established to prevent the direct or indirect support of economic activity in the meaning of chapter 2 of the Commission Notice on the notion of State aid as referred to in Article 107(1) of the Treaty on the Functioning of the European Union (2016/C 262/01).

The Applicant understands that, should it be found to be in breach of the conditions for being exempt from State Aid regulations, the Managing Authority will enforce the retrieval of funds with interest, in part or in full, as the case may necessitate.

The Applicant also undertakes to comply faithfully and immediately with any decision of the European Commission or a Maltese judicial authority declaring Article 107(1) TFEU to be applicable to this Agreement.

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Name and Surname of Legal Representative

Date Click here to enter a date.

**Appendix 2: Declaration where State Aid is not applicable for Entities whose activity does not constitute an economic activity within the meaning of Article 107 TFEU (Excluding Public Research and Dissemination Organisations)**

***To be completed by each Entity Where State Aid is not applicable AND WHOSE ACTIVITY DOES NOT CONSTIUTE AN ECONOMIC ACTIVITY AS PER ARTICLE 107 OF TFEU (EXCLUDING ACADEMIC ENTITIES)***

Name of Entity:

The Choose an item. , declares the following:

State Aid within the meaning of Article 107TFEU is not applicable to the Applicant and/or the type of research being undertaken is claimed by the Applicant not to constitute an economic activity within the meaning of Article 107 of the Treaty on the Functioning of the European Union. Where applicable*, applicants need to ensure adherence to Section 2.2 “Indirect State aid to undertakings through public funded research and knowledge dissemination organisations and research infrastructures” of the Framework for State aid for research and development and innovation (2014/C 198/01).*

The Applicant intends to:

a) publicise widely the results of the research;

b) license on non-discriminatory terms any Intellectual Property Rights (IPRs) resulting from the research at the market price for the said IPR;

c) any income from the licensing of IPR shall be reinvested in the primary educational and research activities of the Beneficiary;

d) ensure that no funds provided by this Agreement cross-subsidises any economic activities that may be carried out by the Beneficiary, other partners in the project, or third parties.

The Applicant understands that, should it be found to be in breach of the conditions for being exempt from State Aid regulations, the Managing Authority will enforce the retrieval of funds with interest, in part or in full, as the case may necessitate.

The Beneficiary undertakes to comply faithfully and immediately with any decision of the European Commission or a Maltese judicial authority declaring Article 107(1) TFEU to be applicable to this Agreement.

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Name and Signature of Legal Representative

Date Click here to enter a date.

1. *This Declaration does not extend to any traffic related offences where these have been made.* [↑](#footnote-ref-1)
2. *This Declaration does not extend to disqualification of any tender proposal due to technical reasons.* [↑](#footnote-ref-2)
3. *This Declaration does not extend to disqualification of any tender proposal due to technical reasons.* [↑](#footnote-ref-3)